



## Regulatory Compliance Module

We understand that one of the keys to successfully monitoring compliance related activities is communicating and managing your compliance requirements in an orderly and timely manner. Recognizing this, AIC has defined a new solution that will allow users the ability to customize and track client defined compliance items such as licenses, certificates, permits, leases, etc.

The Regulatory Compliance Module allows you to define and manage all of your organization specific requirements for each location, as well as integrate into the AIC's One Global Platform™ which will enforce your business processes through automated email notifications and messages, reminders, escalations alerts.

The Regulatory Compliance solution will enhance productivity by streamlining the requirement tracking process as well as bring transparency providing real-time access to your organization's data 24\*7\*365; through robust reporting and dashboards. Real time access to data allows for informed decision making and a more proactive approach to Permit and Reporting Requirements, along with mitigating non-compliance.

Six Main Objectives for this solution:

1. Define – Corporation defines which Compliance and Reporting Requirements that are relevant to their company.
2. Assign – Assign Compliance and Report Requirements based on Location.
3. Track - Upload supporting documentation for maintaining Corporate Compliance.
4. Remind - Client defined automated email alerts and messages based upon time, event, or threshold.
5. Report - Use the AIC Report Builder to build and schedule reports for automated delivery to show status of upcoming/past due requirements.
6. Dashboards – Through the use of the dashboard you will be able to see all requirements per location etc.

**Recurring Requirement - San Diego** [Export to Excel](#)

HOME

**Requirement Name**  
5 year lease

**Agency**  
Internal

	9	All
!	6	Past Due
!	2	Coming Due
✓	1	Completed
!	0	Completed Late
	0	New

**Location Profile - Mexico City** Search...

**Icon Legend**  
● Past Due ● Coming Due ● Completed

All **Completed 15** Coming Due 2 Past Due 0 Sort ↑↓

**Water Sample**  
EPA  
✓ Completed

**Permit Fee**  
EPA  
✓ Completed

**Electrical Call to Action**  
OSHA  
✓ Completed

**Oil Change (Forklift - fk876)**  
Internal  
✓ Completed

**Corporate EHS Assessment**  
✓ Completed Late

**Area A Inspection**  
FIRE DEPARTMENT  
✓ Completed

**Tier 2 Checklist**  
EPA  
✓ Completed Late

**Stormwater Permitting AC** 0 0 10 Current  
EPA  
✓ Completed Late  
10 Records Found  
Page 1 of 1

Type	Requirement Name	Agency	Due Date	Status
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	12/1/2015	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	1/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	2/1/2016	Completed
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	3/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	4/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	5/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	6/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	7/1/2016	Completed Late
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	8/1/2016	Completed
Area A Inspection	Area A Inspection	FIRE DEPARTMENT	9/1/2016	Completed

**Change the tire (Forklift - fk876)**  
Internal  
✓ Completed Late

**Safety Required Training**  
OSHA  
✓ Completed Late

**Monthly Assessment**  
FIRE DEPARTMENT  
✓ Completed